HEALTH ENTITIES

COMPANY NAME:	NAIC Company Code:
Contact:	Telephone:
REQUIRED FILINGS IN THE STATE OF	Filings Made During the Vear 2024

(1)	(2)	(3)		(4)		(5)	(6)	(7)
Checklist	Line #	REQUIRED FILINGS FOR THE ABOVE STATE	NUM Dom	BER OF C		DUE DATE	FORM SOURCE**	APPLICABLE NOTES
Checklist	Line #	REQUIRED FILINGS FOR THE ABOVE STATE	State	NAIC	Foreign State	DOEDATE	SOURCE	NOTES
		I. NAIC FINANCIAL STATEMENTS						
	1	Annual Statement (8 ½"X14")						*Jurat page for Foreign only
			KY EO**	EO	KY EO**	3/1	NAIC	**See Letter E and F
	1.1	Printed Investment Schedule detail (Pages E01- E29)	KY EO*	ЕО	0	3/1	NAIC	*See Letter E
	2	Quarterly Financial Statement (8 1/2" x 14")	KY EO*	ЕО	0	5/15, 8/15, 11/15	NAIC	*See Letter E
		II. NAIC SUPPLEMENTS		1				
	11	Accident & Health Policy Experience Exhibit	KY EO*	ЕО	0	4/1	NAIC	*See Letter E
	12	Actuarial Opinion	KY EO*	ЕО	0	3/1	Company	*See Letter E
	13	Life Supplemental Data due March 1	KY EO*	ЕО	0	3/1	NAIC	*See Letter E
	14	Life Supplemental Data due April 1	KY EO*	ЕО	0	4/1	NAIC	*See Letter E
	15	Life Supp Statement non-guaranteed elements – Exh 5, Int. #3	KY EO*	ЕО	0	3/1	Company	*See Letter E
	16	Life Supp Statement on par/non-par policies – Exh 5 Int. 1&2 Life, Health & Annuity Guaranty Association	KY EO* KY	EO	0	3/1	Company	*See Letter E *See Letter E
	17	Assessable Premium Exhibit, Parts 1 and 2 Long-Term Care Experience Reporting Forms	EO* KY	ЕО	0	4/1	NAIC	
	19	Management Discussion & Analysis	EO*	ЕО	0	4/1	NAIC	*See Letter E *See Letter E
	20	Market Conduct Annual Statement Premium	EO*	EO	0	4/1	Company	*See Letter E
		Exhibit for Year	EO*	EO		3/1	NAIC	
	21	Medicare Part D Coverage Supplement	KY EO*	EO	0	3/1, 5/15, 8/15, 11/15	NAIC	*See Letter E
	22	Medicare Supplement Insurance Experience Exhibit	KY EO*	EO	0	3/1	NAIC	*See Letter E
	23	Risk-Based Capital Report	KY EO*	EO	0	3/1	NAIC	*See Letter E
	24	Schedule SIS	KY EO*	N/A	N/A	3/1	NAIC	*See Letter E
	25	Supplemental Compensation Exhibit	KY EO*	N/A	N/A	3/1	NAIC	*See Letter E
	26	Supplemental Health Care Exhibit (Parts 1 and 2)	KY EO*	EO	0	4/1	NAIC	*See Letter E
	27	Supplemental Investment Risk Interrogatories	KY EO*	ЕО	0	4/1	NAIC	*See Letter E
		III. ELECTRONIC FILING REQUIREMENTS				l	<u> </u>	
	61	Annual Statement Electronic Filing						*Domestic need to file the entire statement
			KY		KY			**Jurat page for Foreign only
			EO*	EO	EO**	3/1***	NAIC	***See Letter E

Clockella Law									·
Checkins Lame	(1)	(2)	(3)		(4)		(5)	(6)	(7)
Company Sun	Chapleliot	Time #	DECLUDED EILINGS FOR THE A DOVE STATE			I			
02 March PDF Filing	Checklist	Line #	REQUIRED FILINGS FOR THE ABOVE STATE				DUEDATE	SOURCE	NOTES
Solution		62	March DDE Filing		NAIC	State			**Saa Lattar E
63 Risk-Based Capital Flectronic Filing EO EO N/A 3/1* NAIC **See Letter F EO EO M/A MAIC **See Letter E EO EO EO EO EO EO EO		02	Watch .FDF Filling		FO	0	3/1**	NAIC	· See Letter L
Second S		62	Disk Dasad Canital Flaatronia Filina		EO	0	3/1	NAIC	**Cool otton E
64 Riak-Based Capital PDF Filing		0.5	Risk-Based Capital Electronic Fining		EO	NI/A	2/1*	NAIC	***See Letter E
S Supplemental Electronic Filing		C 4	D' 1 D 1 C ' 1 DDE E'!		EU	IN/A	3/1	NAIC	*C I F
65 Supplemental Electronic Filing		04	Risk-Based Capital .PDF Filling		EO	NI/A	2/1*	NAIC	"See Letter E
Company Comp			0 1 1 1 1 1 1 1 1 1 1 1 1		EU	1	3/1"	NAIC	*C I F
66 Supplemental_PDF Filing		65	Supplemental Electronic Filing			0	4 (4 -)	37.70	*See Letter E
FO			~		EO		4/1*	NAIC	
67 Quarterly Statement Electronic Filing		66	Supplemental .PDF Filing			0	4.4	37.70	*See Letter E
Secondary Seco				EO	EO			NAIC	
Second S		67	Quarterly Statement Electronic Filing			0			*See Letter E
68 Quarterly, PDF Filing					ПО.			NATO	
Secondary Seco		_		EO	EO			NAIC	
FO Fo Fo Fo Fo Fo Fo Fo		68	Quarterly.PDF Filing			0			*See Letter E
10									
NAUDITINTERNAL CONTROL RELATED REPORTS					EO		11/15*	NAIC	
Notification of Adverse Financial Control Over Financial Reporting Financial Reporti		69	June .PDF Filing			0			*See Letter E
RELATED REPORTS 81 Accountants Letter of Qualifications Ky EO EO N/A 6/1 * Company *See Letter E EO N/A Addited Financial Reports EO EO O 6/1 * Company *See Letter E EO N/A N/A Company *See Letter E EO N/A N/A N/A N/A Company *See Letter E EO N/A N/A N/A Company *See Letter E EO N/A N/A N/A N/A N/A Company *See Letter E EO N/A				EO	EO		6/1*	NAIC	
RELATED REPORTS 81 Accountants Letter of Qualifications Ky EO EO N/A 6/1 * Company *See Letter E EO N/A Addited Financial Reports EO EO O 6/1 * Company *See Letter E EO N/A N/A Company *See Letter E EO N/A N/A N/A N/A Company *See Letter E EO N/A N/A N/A Company *See Letter E EO N/A N/A N/A N/A N/A Company *See Letter E EO N/A									
81									
BO BO N/A 6/1* Company			RELATED REPORTS						
82		81	Accountants Letter of Qualifications	KY					*See Letter E
Social Reports Soci				EO	EO	N/A	6/1*	Company	
83		82	Audited Financial Reports	KY					*See Letter E
Second S				EO	EO	0	6/1*	Company	
Second S		83	Audited Financial Reports Exemption Affidavit	KY					*See Letter E
Second S			• •	EO	N/A	N/A		Company	
Noted in Audit		84	Communication of Internal Control Related Matters	KY					*See Letter E
85				EO	EO	N/A	8/1*	Company	
Second S		85							*See Letter E
86		-			N/A	N/A		Company	
Financial Reporting		86	Management's Report of Internal Control Over			- "		- company	*See Letter E
87		00			N/A	N/A	8/1*	Company	See Better B
Second S		87			1,111	1,112	0,1	Company	*See Letter E
Relief from the five-year rotation requirement for lead audit partner		07	1 total culture of 7 taverse 1 manetal Condition		N/A	N/A		Company	See Letter E
Second S		88	Relief from the five-year rotation requirement for		11/21	14/11		Сотрыту	*See Letter F
Relief from the one-year cooling off period for independent CPA		00			FO	0	3/1*	Company	See Letter E
Independent CPA		80			LO	0	3/1	Сотрану	*Saa Latter F
90 Relief from the Requirements for Audit Committees EO EO 0 3/1* Company *See Letter E EO Request for Exemption to File Management's Report of Internal Control Over Financial Reporting N/A N/A 3/1* Company *See Letter E N/A N/A N/A 3/1* Company *See Letter E N/A N/		09			FO	0	3/1*	Company	See Letter E
Committees		00			LO	0	3/1	Company	*Coo Lottor E
91 Request for Exemption to File Management's Report of Internal Control Over Financial Reporting		90			FO	0	3/1*	Company	See Letter E
Report of Internal Control Over Financial Reporting		0.1			LO	0	3/1	Company	*Coo Lottor E
Reporting		91	Report of Internal Control Over Financial						See Letter E
Note				LO	NI/A	NI/A	3/1*	Company	
101 Corporate Governance Annual Disclosure*** KY			Reporting		11/71	IN/A	3/1	Company	
101 Corporate Governance Annual Disclosure*** KY			V STATE DECLIDED EILINGS		1	ı	<u> </u>	l .	I
EO O 6/1* Company		101		WW	I	0			*Coolotter F
102 Filings Checklist (with Column 1 completed) 0 0 0 0 0 0 0 0 0		101	Corporate Governance Annual Disclosure****		0		6/1*	Compone	See Letter E
103 Form B-Holding Company Registration Statement KY EO 0 4/1* Company *See Letter E EO 0 8/1 Company *See Letter E EO 0 8/1 Company *See Letter E EO 0 8/1 Company *See Letter E EO 0 Page 3 3/1 State *See Letter E EO 0 Page 3 3/1 State *See Letter E EO 0 EO 3/1* State *See Letter E EO 0 EO EO EO EO EO E		102	Filings Charlist (with Column 111)			0	0/1"		*Coo I o# F
EO O Company					U		4 /1 3-	State	
104 Form F-Enterprise Risk Report **** KY		103	Form B-Holding Company Registration Statement			U	4/1*	Co	*See Letter E
EO O Company		107	P. P. C. P. I. P. Gallerine		U	_	A 14 J-	Company	*0 * =
105 ORSA ***** KY		104	Form F-Enterprise Risk Report ****			0	4/1*	C	*See Letter E
EO 0 8/1 Company		107	ODGA shirings		0			Company	#G T :: 5
106 Premium Tax		105	OKSA *****		_	0	0.4		*See Letter E
EO O Page 3 3/1 State	<u> </u>	10.	n . m		0		8/1	Company	
107 State Filing Fees		106	Premium Tax					<u> </u>	
EO 0 KY EO 3/1* State F					0	Page 3	3/1	State	
108 Signed Jurat		107	State Filing Fees					_	
EO			2		0	KY EO	3/1*	State	
3/1*, companies		108	Signed Jurat						
				EO					
KY 8/15*, **See Letter E 0 EO* 11/15* NAIC and F									companies
0 EO* 11/15* NAIC and F 109 Group Capital Calculation (File with lead state KY									
109 Group Capital Calculation (File with lead state KY									
	L				0	EO*	11/15*	NAIC	and F
only) EO 0 KY EO 4/1* NAIC *See Letter E		109	* *						
			only)	EO	0	KY EO	4/1*	NAIC	*See Letter E

(1)	(2)	(3)		(4)		(5)	(6)	(7)
GL 11: 4	· "	DECLUBED BY INCO FOR THE A DOVE OF A TE	NUMBER OF COPIES*		FORM		APPLICABLE	
Checklist	Line #	REQUIRED FILINGS FOR THE ABOVE STATE	Dome		Foreign	DUE DATE	SOURCE**	NOTES
	440	TOPHICAL CANT	State	NAIC	State			- · · · · · · · · · · · · · · · · · · ·
	110	Certificate of Deposit-FOREIGN ONLY					State	Foreign ONLY
					KY			
			0	0	EO*	3/1**		**See Letter E
	111	Detail Listing of Securities Held Under Safekeeping (Form	KY	0			State	*Required for
		143)	EO					foreign
								companies if
						3/1*,		deposit held in
						5/15*,		KY
					KY	8/15*,		
					EO*	11/15*		**See Letter E
	112	Affidavit Covering Finance Committee	KY	0		3/1*,	State	*See Letter E
			EO			5/15*,		
						8/15*,		
					0	11/15*		
	113	Reconciliation and Summary of Assets and Reserve	KY	0		3/1*	State	*See Letter E
		Requirements (Form 480)	EO		0			~~~
	114	Direct Business Page (State Page)	KY	0	Ü	3/1*	State	*See Letter E
		Breet Business rage (State rage)	EO		0		State	See Letter E
	115	Direct Economic Impact of KY Captive During	KY	0	0	3/1*	State	*See Letter E
	113		EO	0		3/1	State	See Letter E
		Current Reporting Year (Form Cl-150) Captive	EO		_			
		RRGs Only			0			
	116	Certificate of Advertising (Form 440)	KY EO	0	KY EO	3/1*	State	*See Letter E

For Companies to Use Checklist	NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)	
A	Required Filings Contact Person:	Contacts:
	Kentucky Department of Insurance Financial Standards and Examination Division	Primary: Rodney Hugle & Ardena Rogers
	Phone Number: 502-564-6082 Division e-mail: DOI.FinancialStandardsMail@ky.gov	Secondary: Victoria Lloyd Phone Number: 502-564-6082
		Division e-mail: DOI.FinancialStandardsMail@ky.gov
В	: As of 2024, ALL filing needs to be filed electroinically For Foreign companies, you will need til file through	Mailing Address for Regular Mail: Department of Insurance P.O. Box 517
	eServices.	Frankfort, KY 40602- 0517
	For Domestics, you will need to file through our divisional email box	Attn: Financial Standards & Examination Division
	KY ELECTRONIC of Annual Statement documents (http://insurance.ky.gov/). Your Annual Statement contact person can create an account for Kentucky Online Gateway (KOG).	
	FOR DOMESTIC COMPANY ONLY!!! To upload their Annual Statement documents. Division e-mail DOI.FinancialStandardsMail@ky.gov	
		Division e-mail DOI.FinancialStandardsMail@ky.gov
C	RENEWAL FEES PAID ONLINE To pay online, click on services on the DOI website	Renewal fees paid online.
	(http://insurance.ky.gov/). You can pay your renewal fees through your Kentucky Online Gateway (KOG) account.	Other fees mailed to the address above.

D	Mailing Address for Premium Tax Payments: (see below)	Post Office Box:
	Premium tax forms can be accessed on the Dept. of Revenue's website (http://revenue.ky.gov/forms) Click on "Current Year Forms." NOTE: Please DO NOT Submit PREMIUM TAX payments to the KY Department of Insurance.	Department of Revenue P.O. Box 1303 Frankfort, KY 40602-1303 OR Physical Address: Department of Revenue 501 High Street Frankfort, KY 40601 Phone Number: 502-564-4810
E	Delivery Instructions: PAY ATTENTION TO YOUR DEADLINES	ALL filings must be electronic stamped no later than the indicated due date, regardless of the due date falling on a weekend or holiday.
F	Late Filings: FINES FOR LATE FILINGS	Companies will be fined \$100 per day for ALL late filings, even in situations where a request for extension has been received in writing and approved. For all late filings received WITHOUT extension approval, and additional civil penalty of \$1,000 may be assessed.
G	Electronical Signatures: REQUIRED FOR DOMESTIC COMPANIES	Electronical signatures are required on ALL filings from domestic companies. Foreign companies should follow the NAIC Annual Statement Instructions regarding signatures.
Н	Signature/Notarization/Certification: REQUIRED BY KENTUCKY STATUTE	Per KRS 304.3-240(1)-shall be verified by oaths of a least two (2) of the insurers' principal officers.
I	Amended Filings: APPLIES TO DOMESTIC COMPANIES ONLY	For domestic companies, amended items must be filed within ten (10) days of the amendment, along with an explanation of the amendment. Same applies for original filings where signatures are required.
1	Exceptions from normal filings:	Foreign and domestic companies must a exceptation via divisional email (doi.financialstandardsmail@ky.gov) to the attention of the Director. Any extension requested should apply at least 10 days prior to the due date.

**		77 A 11 A
K	Signed Jurat:	Please follow the NAIC Annual Statement Instructions provided on the Kentucky Department of Insurance website. Kentucky REQUIRES Foreign companies to file a copy of a Signed Jurat Page by March 1 as part of their
		Annual Statement Filings.
L	Filings new, discontinued or modified materially since last year:	For ALL companies, please see "Note O" and "Note P" below. Domestics, please refer to "Note R."
M	Notification of Adverse Financial Condition	Notice of Adverse Financial Condition is due five (5) business days after receipt of the accountant's report and must be sent to the Kentucky Department of Insurance Early Warning Analyst (EWA):
		Russell Coy, EWA Kentucky Department of Insurance
		Email: DOI.Financialstandardsmail@ky.gov
N	Kentucky Annual Filing Instructions: REFER TO http://insurance.ky.gov/	For additional instructions, please see the attached Kentucky Annual Filing Instructions listed on the Kentucky Department of Insurance website. The instructions should appear directly above the NAIC checklists provided for each type of entity.
0	Company's Responsibility to Review/Update their Information on Kentucky Department of Insurance website: http://insurance.ky.gov/	All companies should refer to the Kentucky Department of Insurance website under "Company Info" to review and verify their company information. If corrections or updates need to be made, companies should notify the Kentucky Department of Insurance by submitting the appropriate form(s) on the NAIC UCAA Corporation Amendments Application Please be advised: *the Form 12 – deals with changes to the Service of Process *The Form 14 – deals with address changes

		*The Form 2C – is the only form that deals with the home office address change *Biographical affidavits should ONLY be submitted for NEW Presidents for foreign companies only For Domestic Companies, biographical affidavits need to be submitted for any changes in officers, directors, or major shareholders.
P	Actuarial Opinion Summary: REQUIRED FROM DOMESTICS	All domestic companies are required to file the Actuarial Opinion Summary. Only one (1) copy of the summary is needed and file through the divisional email of DOI.Financialstandardsmail@ky.gov